

PATIENT INFORMATION

Patient's Name \_\_\_\_\_ Date \_\_\_\_\_

Date of Birth \_\_\_\_\_ Social Security # \_\_\_\_\_ Work/Cell \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Email \_\_\_\_\_ Home Phone \_\_\_\_\_ Sex \_\_\_\_\_  
(For in-house use only)

Who may we thank for referring you? \_\_\_\_\_

RESPONSIBLE PARTY INFORMATION

Guarantor Name \_\_\_\_\_ Marital Status \_\_\_\_\_

Date of Birth \_\_\_\_\_ Sex \_\_\_\_\_ Social Security # \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_  
(For in-house use only)

Employer \_\_\_\_\_ Occupation \_\_\_\_\_

Employer Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

EMERGENCY INFORMATION

Name \_\_\_\_\_ Relation \_\_\_\_\_ Phone \_\_\_\_\_

Address \_\_\_\_\_ Alternate Phone \_\_\_\_\_

**PATIENT MEDICAL HISTORY:**

Have you been under the care of a physician in the last two years?:

Physician's Name \_\_\_\_\_ Reason for Care \_\_\_\_\_

Have you ever had any operations? \_\_\_\_\_

Have you ever been hospitalized? \_\_\_\_\_

Have you ever had or do you now have any of the following: Y - Yes, N - No

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> AIDS/HIV      | <input type="checkbox"/> Diabetes           | <input type="checkbox"/> Latex allergy      |
| <input type="checkbox"/> Allergies     | <input type="checkbox"/> Endocrine Problems | <input type="checkbox"/> Nervous Disorder   |
| <input type="checkbox"/> Anemia        | <input type="checkbox"/> Epilepsy           | <input type="checkbox"/> Prolonged Bleeding |
| <input type="checkbox"/> Asthma        | <input type="checkbox"/> Fainting/Dizziness | <input type="checkbox"/> Rheumatic          |
| <input type="checkbox"/> Birth Defects | <input type="checkbox"/> Heart Problems     | <input type="checkbox"/> Tuberculosis       |
| <input type="checkbox"/> Bone Disorder | <input type="checkbox"/> Hepatitis          | <input type="checkbox"/> Other _____        |
| <input type="checkbox"/> Cancer        | <input type="checkbox"/> Liver Problems     | _____                                       |

List any medications you are currently taking. \_\_\_\_\_

**PATIENT DENTAL HISTORY:**

Current Dentist \_\_\_\_\_ Date of last exam \_\_\_\_\_

How long since last teeth cleaning? \_\_\_\_\_ How often do you brush? \_\_\_\_\_ floss? \_\_\_\_\_

Do you have any of the following:

- |   |  |
|---|--|
| <input type="checkbox"/> Family members who have had Orthodontics   | <input type="checkbox"/> Hot/cold sensitivity    |
| <input type="checkbox"/> Injuries to face, jaw, mouth or teeth      | <input type="checkbox"/> Bleeding gums/bad taste |
| <input type="checkbox"/> Root canals, crowns or bridge              | <input type="checkbox"/> Suck thumb/fingers      |
| <input type="checkbox"/> Clicking, popping, grinding or pain in jaw | <input type="checkbox"/> Missing or extra teeth  |
| <input type="checkbox"/> Difficulty chewing                         | <input type="checkbox"/> Difficulty breathing    |

Have you ever had an Orthodontic consultation or treatment before? \_\_\_\_\_

What was the outcome of your consultation or treatment? \_\_\_\_\_

What do you hope to achieve with Orthodontic treatment? \_\_\_\_\_

I understand that where appropriate, credit bureau reports may be obtained. I also understand that there are certain limitations and risks with any treatment and that while most patients achieve their desired results, it is not a guarantee.

\_\_\_\_\_  
Patient Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Guarantor (if patient is under 18)

\_\_\_\_\_  
Date

## CONSENT FOR ORTHODONTIC TREATMENT

In the vast majority of orthodontic cases, significant improvements can be achieved. While the benefits of a pleasing smile and healthy teeth are widely appreciated, orthodontic treatment remains an elective procedure. Like any other treatment to the body, it has some inherent risks and limitations. These seldom prevent treatment, but should be considered in making the decision to undergo treatment.

**LACK OF PATIENT COOPERATION OR COMPLIANCE.** (Most common cause for excessive treatment time). Lack of, or undesirable growth, insufficient wearing of elastics or headgear, broken appliances, and missed appointments are important factors, which can lengthen time and adversely affect the quality of treatment results.

**HEADGEAR WEAR.** Instructions must be followed carefully. Injury could occur if the headgear is pulled out while the elastic force is attached.

**NON VITAL OR DEAD TOOTH.** (Tooth traumatized by blow or other causes). A traumatized tooth can die over a long period of time with or without orthodontic treatment. This tooth may flare up during orthodontic movement and require endodontic treatment (root canal).

**IMPACTED TEETH.** (Teeth unable to erupt normally). In attempting to move impacted teeth, especially cuspids (canine teeth), various problems are sometimes encountered which may lead to loss of the tooth or periodontal problems.

**ROOT RESORPTION.** (Shortening of root ends). This can occur with or without orthodontic treatment. Under healthy conditions, the shortened roots are usually no problem. Trauma, cuts, impaction, endocrine disorders, and idiosyncratic reasons can also cause problems.

**TEMPOROMANDIBULAR JOINTS - TMJ.** (Sliding hinge connecting the upper and lower jaws). Possible problems may exist or occur during orthodontic treatment. Tooth position and bite can be a factor in this condition. An equilibration by your doctor may be recommended after your appliances are removed to improve occlusal relationship.

**GROWTH PATTERNS.** (Facial growth during or after treatment). Bad habits, unusual skeletal patterns and insufficient or undesirable growth can compromise the dental results, effect a facial change, and cause shifting of teeth during retention.

**POST TREATMENT TEETH MOVEMENT.** (Relapse). There is a likelihood that teeth will shift or settle after treatment as well as after retention. Some changes may be desirable, but others will not. Rotations and crowding of the lower anterior teeth are the most common examples. Slight spaces in the extraction site or between the upper centrals are other examples.

**UNUSUAL OCCURRENCES:** Swallowing appliances, chipping teeth, and dislodging restoration.

After reading the above:

I consent to the taking of photographs and X-rays before, during and after treatment. I certify that I have read the contents of this form, realize the risks and limitations involved, and do consent to orthodontic treatment.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Guarantor Signature (if patient is under 18 years of age)

\_\_\_\_\_  
Date

I hereby give permission to Dr. Brian Smith Orthodontics to use photos of \_\_\_\_\_'s smile for the sole purpose of practice enhancement.

\_\_\_\_\_  
Patient Signature

\_\_\_\_\_  
Guarantor Signature (if patient is under 18 years of age)

\_\_\_\_\_  
Date

## Insurance Benefits Summary

At this time, as a courtesy to our patients, our office will file your insurance. Prior to your initial appointment you will need to call your insurance company to obtain your quoted orthodontic benefits with the following information:

Lifetime maximum \$ \_\_\_\_\_  
Annual maximum (if any) \$ \_\_\_\_\_  
Percentage of payout \_\_\_\_\_ %  
Benefits remaining \$ \_\_\_\_\_  
Age limitations \_\_\_\_\_  
Any exclusions (waiting period) \_\_\_\_\_

### Insurance Information

Insurance Subscriber \_\_\_\_\_ Date of Birth \_\_\_\_\_  
Insured's Name \_\_\_\_\_ Subscriber Social Security # \_\_\_\_\_  
Insured's Employer \_\_\_\_\_ Occupation \_\_\_\_\_  
Insurance Co. Name \_\_\_\_\_ Effective Date \_\_\_\_\_  
Insurance Co. Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Group # \_\_\_\_\_ Policy # \_\_\_\_\_ Phone # \_\_\_\_\_

### Secondary Insurance Information\*\*

Insurance Subscriber \_\_\_\_\_ Date of Birth \_\_\_\_\_  
Insured's Name \_\_\_\_\_ Subscriber Social Security # \_\_\_\_\_  
Insured's Employer \_\_\_\_\_ Occupation \_\_\_\_\_  
Insurance Co. Name \_\_\_\_\_ Effective Date \_\_\_\_\_  
Insurance Co. Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Group # \_\_\_\_\_ Policy # \_\_\_\_\_ Phone # \_\_\_\_\_

\*\*An additional charge of \$25.00 will be applied for filing to each additional insurance company.

Thank you for providing this information for us so that we can be efficient in caring for your insurance benefits.

We appreciate you considering Dr. Brian Smith Orthodontics for your or your child's precious smile. We will do our best to honestly maximize your orthodontic benefits.

**Dr. Brian Smith Orthodontics**  
**4105 Westbank Dr. #200**  
**Austin, TX 78746**  
**512-328-1985**